UCX and the Federal Claims Control Center

2021

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Reference

ET Handbook 391

ET Handbook 384

UIPLs

- 14-16
- 16-14
- 30-20 (Or Current UIPL-Acceptable Narrative Reason)
- 47-01
- 05-97
- 35-95
- 27-06

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FCCC Purpose

Military wage and separation information/NOAA

Maintains Department's copies of DD-214/DD-215

Maintains claims control data for both UCFE and UCX programs

ICON X
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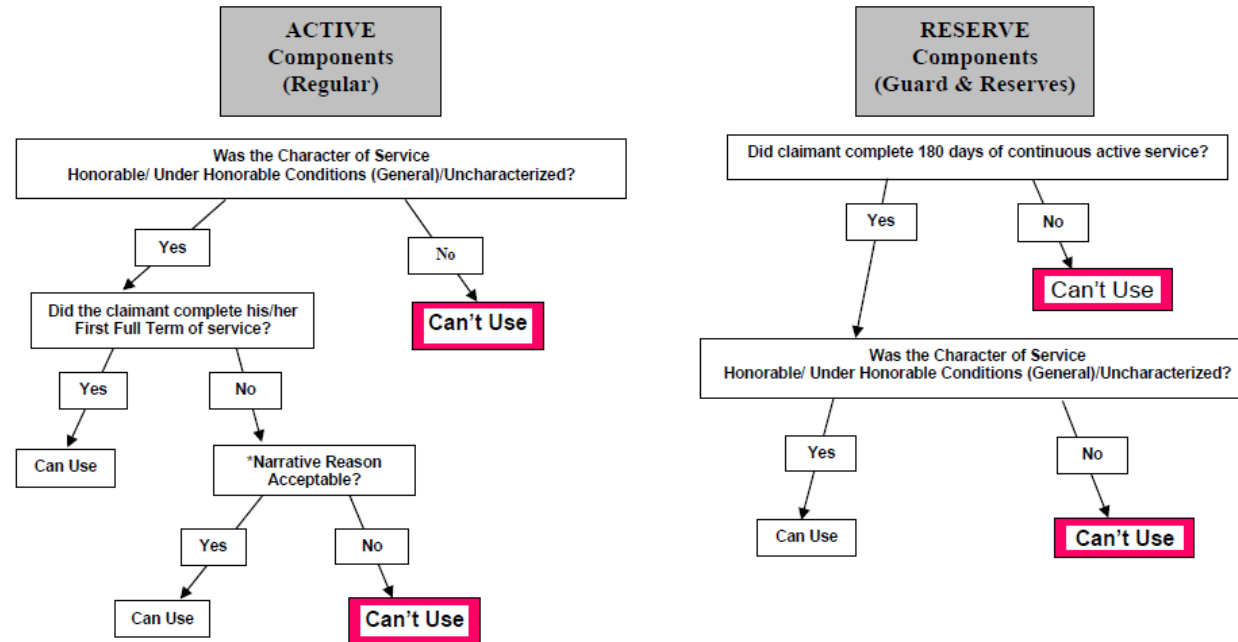
FCCC Requests State's Responsibilities

Ensure the proper FCCC record is sent each time UCFE and UCX wages are involved.

Read responses received

The Federal Program Coordinator is responsible to ensure UNIFORM interpretation of the UCX & UCFE requirements

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UCX Decision Tree

UCX DECISION TREE rev September 2020*

ACTIVE Components (Regular)

Was the Character of Service Honorable/ Under Honorable Conditions (General)/Uncharacterized?
- Yes
  - Did the claimant complete his/her First Full Term of service?
    - Yes → Can Use
    - No → *Narrative Reason Acceptable?
      - Yes → Can Use
      - No → Can't Use
- No → Can't Use

RESERVE Components (Guard & Reserves)

Did claimant complete 180 days of continuous active service?
- Yes
  - Was the Character of Service Honorable/ Under Honorable Conditions (General)/Uncharacterized?
    - Yes → Can Use
    - No → Can't Use
- No → Can't Use

*Refer to UIPL 30-20, "Acceptable" Narrative Reasons for Separation Used in the Unemployment Compensation for Ex-servicemembers (UCX) Program and Processing Discrepancies.

**If the Ex-servicemember was an Officer (check paygrade), please refer to ET Handbook No. 384, Unemployment Compensation for Ex-Servicemembers, for criteria on the use of Federal military service and wages.

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FCCC Record Types

- Description and when to use

UCFE/UCX RECORD TYPES		
Type of Record	**Event**	**When to Use**
Type 1 – Initial Request for Wage & Separation Information	Claim Filed	Each time a UCFE/UCX claim is filed
Type 2 – UCFE or UCX or Joint Claim Control	Benefit Year Established	Each time a benefit year is established using UCFE, UCX or a combination of both types of wages.
Type 3 – Wage Assignment Only	Benefit Year Established – Lag Period Wages	When a UI benefit year is established with causes the assignment of lag period UCX or UCFE wages. When a benefit year is established under another state's law which causes a wage assignment in your state.
Type 4 – Cancellation of Type 2 Claim Control	Cancellation of Control Record	When a benefit year that caused an assignment is canceled.
Type 5 – Cancellation of Type 3 Wage assignment Only Control	Benefit Year Cancelled using Lag Wages	When a benefit year that caused an assignment is canceled.
Type 6 – Cancellation of UCX Pending Record	Withdrawal of UCX Claim or Erroneous Information Caused Pending Record	When a UCX claim is withdrawn or canceled, or when erroneous information (e.g. incorrect separation date on the Type 1 record) caused an erroneous pending record to be created.

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FCCC Process

- Type 1 Record
  - Initial Request for Wage and Separation Information
  - Used each time a UCFE/UCX claims is filed
  - Enables state to learn if a claim has been established using Federal wages
  - Enables state to receive UCX wage and separation information.

It is very important to thoroughly read record responses

FCCC Process

- **Type 1 Response**
  - Edit/Process Flag
  - Completed first full team of service?
  - Character of Service
  - Multiple activations

If you are not seeing FCCC complete responses, please work with your IT team.

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FCCC Responses

- All requests will receive a response
  - Response will include an Edit Flag
    - P-Processed
    - E-Error/Rejected

Ensure your request was processed

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FCCC Process-Type 1 Response

NO DD-214 ON FILE. NO MATCHING CONTROL RECORD. PENDING RECORDING CREATED.

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3/30/01                 UCX/UCFE RESPONSE RECORD                Page 11

SSN: 000000000    Name: GARY      JGATHERS        OTHER SSN: 000000000

EFF. DATE:20010305      LDW UCX:20010224      LDW UCFE:00000000
BASE PER BEG:19991001   BASE PER END:20000930  BASE YEAR END:00000000
ENTRY DATE:00000000     NET SERVICE 1:000000   PRIOR SERVICE 1:000000

STATE FIPS:13     TRANS FIPS-1:00    TRANS FIPS-2:00  LOCAL OFFICE:1500
BR SERVICE:01      COMPONENT:ARMY RA                  CHAR SERV:
TRANS DATE:20010307    LCCC DATE:20010307   REC CODE:1

ACCRUED LEAVE:000.0  SEP PAY:000000.00  DISAB PEN:000000.00  PAY GRADE:
US NATL:           RETIREMENT:        MICROFILM ID: 000000000000

DAYS LOST ST-1:00000000 DAYS LOST END-1:00000000
DAYS LOST ST-2:00000000 DAYS LOST END-2:00000000
DAYS LOST ST-3:00000000 DAYS LOST END-3:00000000
DAYS LOST ST-4:00000000 DAYS LOST END-4:00000000

Q1 DATE:00001 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q2 DATE:00002 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q3 DATE:00003 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q4 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q5 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q6 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q7 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q8 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q9 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00

DAYS LOST ST-5:00000000 DAYS LOST END-5:00000000
DAYS LOST ST-6:00000000 DAYS LOST END-6:00000000
DAYS LOST ST-7:00000000 DAYS LOST END-7:00000000
DAYS LOST ST-8:00000000 DAYS LOST END-8:00000000

COMPONENT 2:                            ENTRY DATE 2:00000000
SEP DATE 2:00000000     NET SERVICE 2:000000   PRIOR SERVICE 2:000000
ACCRUED LEAVE 2:000.0   AMENDED RESPONSE:      MICROFILM ID:000000000000

NARRATIVE:

WARNING FLAG:    1ST FULL:      TYPE:X       EDIT/PROCESS:P
MSG:002 NO CONTROL RECORD OR DD 214 ON FILE.  RESPONSE PENDING
MSG:
MSG:
```

Callouts:
- Record Type 1-6
- Y-Yes. N-No U-Unknown
- Always Read
- P-processed. E-Error/Rejected

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FCCC Response Messages

- Separation Pay
- Disability Pension Pay

- Edit Process Flag
- Message Numbers
- Warning


```
3/30/01                 UCX/UCFE RESPONSE RECORD                        Page

SSN: 000000000     Name: MARK      PURVIS        OTHER SSN: 000000000

EFF. DATE:20010328        LDW UCX:20010215        LDW UCFE:00000000
BASE PER BEG:19991001     BASE PER END:20000930   BASE YEAR END:00000000
ENTRY DATE:19970815       NET SERVICE 1:030600    PRIOR SERVICE 1:000207

STATE FIPS:13       TRANS FIPS-1:00     TRANS FIPS-2:00    LOCAL OFFICE:5500
BR SERVICE:04       COMPONENT:USMCR C1                     CHAR SERV:HO
TRANS DATE:20010328     LCCC DATE:20010328      REC CODE:1

ACCRUED LEAVE:000.0   SEP PAY:000000.00   DISAB PEN:000000.00   PAY GRADE:002
US NATL:U             RETIREMENT:N        MICROFILM ID:200102280675

DAYS LOST ST-1:00000000 DAYS LOST END-1:00000000
DAYS LOST ST-2:00000000 DAYS LOST END-2:00000000
DAYS LOST ST-3:00000000 DAYS LOST END-3:00000000
DAYS LOST ST-4:00000000 DAYS LOST END-4:00000000

Q1 DATE:19994 Q1 WAGE:011502.00 Q1 WEEK:13  Q1 HOURS:720 Q1 BR SERV: 04
Q2 DATE:20001 Q1 WAGE:011502.00 Q1 WEEK:13  Q1 HOURS:720 Q1 BR SERV: 04
Q3 DATE:20002 Q1 WAGE:011502.00 Q1 WEEK:13  Q1 HOURS:720 Q1 BR SERV: 04
Q4 DATE:20003 Q1 WAGE:011502.00 Q1 WEEK:14  Q1 HOURS:720 Q1 BR SERV: 04
Q5 DATE:20004 Q1 WAGE:011502.00 Q1 WEEK:13  Q1 HOURS:720 Q1 BR SERV: 04
Q6 DATE:20011 Q1 WAGE:005751.00 Q1 WEEK:07  Q1 HOURS:360 Q1 BR SERV: 04
Q7 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q8 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q9 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00

DAYS LOST ST-5:00000000 DAYS LOST END-5:00000000
DAYS LOST ST-6:00000000 DAYS LOST END-6:00000000
DAYS LOST ST-7:00000000 DAYS LOST END-7:00000000
DAYS LOST ST-8:00000000 DAYS LOST END-8:00000000

COMPONENT 2:                                      ENTRY DATE 2:00000000
SEP DATE 2:00000000        NET SERVICE 2:000000    PRIOR SERVICE 2:000000
ACCRUED LEAVE 2:000.0      AMENDED RESPONSE:       MICROFILM ID:000000000000

NARRATIVE: SUFFICIENT SERVICE FOR RETIREMENT

WARNING FLAG:X    1ST FULL:U       TYPE:X         EDIT/PROCESS:P

MSG:035 NAME ON 214 REC IS CHRISTOPHER AEMERSON
MSG:031 FIRST FULL TERM UNKNOWN.  DD-14 BEING FAXED.
```

FCCC Process Type 1 response

- DD-214 on file with a different separation date

- No matching control record


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3/30/01                UCX/UCFE RESPONSE RECORD              Page 11

SSN: 000000000   Name: ALLISON   CARTHONS      OTHER SSN: 000000000

EFF. DATE:20010306      LDW UCX:20010131      LDW UCFE:00000000
BASE PER BEG:19991001   BASE PER END:20000930  BASE YEAR END:00000000
ENTRY DATE:19810107     NET SERVICE 1:200024   PRIOR SERVICE 1:000000


STATE FIPS:13      TRANS FIPS-1:00    TRANS FIPS-2:00   LOCAL OFFICE:5500
BR SERVICE:01        COMPONENT:ARMY RA                  CHAR SERV:HO
TRANS DATE:20010307    LCCC DATE:20010307    REC CODE:1


ACCRUED LEAVE:000.0   SEP PAY:000000.00   DISAB PEN:000000.00   PAY GRADE:E0
US NATL:U              RETIREMENT:Y        MICROFILM ID:200101020984


DAYS LOST ST-1:00000000 DAYS LOST END-1:00000000
DAYS LOST ST-2:00000000 DAYS LOST END-2:00000000
DAYS LOST ST-3:00000000 DAYS LOST END-3:00000000
DAYS LOST ST-4:00000000 DAYS LOST END-4:00000000


Q1 DATE:19994 Q1 WAGE:011171.70 Q1 WEEK:13  Q1 HOURS:720 Q1 BR SERV: 01
Q2 DATE:20001 Q1 WAGE:011171.70 Q1 WEEK:13  Q1 HOURS:720 Q1 BR SERV: 01
Q3 DATE:20002 Q1 WAGE:011171.70 Q1 WEEK:13  Q1 HOURS:720 Q1 BR SERV: 01
Q4 DATE:20003 Q1 WAGE:011171.70 Q1 WEEK:14  Q1 HOURS:720 Q1 BR SERV: 01
Q5 DATE:20004 Q1 WAGE:011171.70 Q1 WEEK:13  Q1 HOURS:720 Q1 BR SERV: 01
Q6 DATE:20011 Q1 WAGE:003723.90 Q1 WEEK:05  Q1 HOURS:240 Q1 BR SERV: 01
Q7 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q8 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00
Q9 DATE:00000 Q1 WAGE:000000.00 Q1 WEEK:00  Q1 HOURS:000 Q1 BR SERV: 00


DAYS LOST ST-5:00000000 DAYS LOST END-5:00000000
DAYS LOST ST-6:00000000 DAYS LOST END-6:00000000
DAYS LOST ST-7:00000000 DAYS LOST END-7:00000000
DAYS LOST ST-8:00000000 DAYS LOST END-8:00000000


COMPONENT 2:                                  ENTRY DATE 2:00000000
SEP DATE 2:00000000        NET SERVICE 2:000000   PRIOR SERVICE 2:000000
ACCRUED LEAVE 2:000.0      AMENDED RESPONSE:     MICROFILM ID:000000000000


NARRATIVE: SUFFICIENT SERVICE FOR RETIREMENT
WARNING FLAG:    1ST FULL:y      TYPE:X        EDIT/PROCESS:P
MSG:028 REQ SEP DATE 10/16/00 DIFFERENT FROM DD214 SEP DATE. PDG RECORD CREATED.
```

HO-Honorable
UH- Unhonorable
Blank or NA

2nd component would be listed here

Always read Narrative

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001	Prior request. Response pending. [1]
006	Control record on file. No DD-214 on file. Response pending.[1]
007	Wages previously assigned. If subsequent DD-214 on file, wages sent. [1]
009	Control record on file. [1]
012	Control record on file. Prior claim filed since separation date. [1]
013	Control record on file for UCX claim. [1]
015	Wages previously assigned.[1]
024	Wages previously assigned. Wages sent from DD-214. [1]
025	Control record on file. Wages sent from DD-214. [1]
Notes	
[1]	Indicates that Federal Wages can **not** be used or assigned.

002	No control record or DD-214 on file. Response pending. [1,3]
004	No control record or DD-214 on file. [1]
010	No control record on file. Wages sent from the DD-214. [1]
026	Request record accepted. [1]
028	Requested Sep Date MM/DD/YY is different from DD-214 Sep Date. Pending record created. [1]
029	More than 2 DD-214's on file. DD-214 information is being faxed. [2]
030	Wages must be reduced by days lost on the DD-214. DD-214 being faxed. [2]
031	First full term unknown. DD-214 being faxed. [4]
032	Character of service is not "Honorable."
034	Unable to calculate wages. DD-214 information provided.
Notes	
[1]	Indicates that Federal Wages are available and may be used or assigned.
[2]	If the requesting state is participating in FCCC Exceptions Web, the DD-214 will be added to the FCCC Exceptions Web site instead of being faxed.
[3]	To eliminate any delay, this message authorizes the requesting state to determine UCX eligibility under an affidavit process, using the claimant's copy 4 of his/her DD-214 or "orders to report" AND "orders of release".
[4]	The system has been changed, so this type of DD-214 is no longer faxed (or added to FCCC Exceptions Web).

Common FCCC Responses- Type 1

FCCC Process

- **Type 2 Record**
  - UCFE, UCX, or Joint Claim Control
  - Used when benefit year is established
    - UCFE Wages
    - UCX Wages
    - Combination UCFE/UCX Wages

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FCCC Responses-Type 2 & 3

023	Control record accepted. [1]
Notes	
[1]	Indicates the Claim Control or LAG Wage Assignment Only request was accepted and wages are now assigned.

015	Wages previously assigned. [2]
040	Wages previously assigned, need Trans FIPS identified on control record. [2]
Notes	
[2]	Indicates that Federal Wages **cannot** be used or assigned.

- FCCC determines if wages
  - Have been assigned
  - Available

FCCC will notify State if an existing record is on file at the FCCC

It is important to read all responses!

FCCC Process

- **Type 3 Record**

  - Lag Wage Assignment only

FCCC Process

- **Type 4 Record**
  - Cancellation of Type 2 Claim Control
  - When a benefit year that caused assignment was cancelled
    - **Type 5 Record**
      - Cancellation of Type 3 Record
   Benefit year cancelled that caused the assignment of lag wages
  - **Type 6 Record**
    - Cancels a UCX Pending Record
    - Erroneous Information Caused Pending Record

FCCC Process

- **Joint Claim**
  - UCFE and UCX Wages
    - Send Type 1 request for UCFE Wages
    - Send Type 1 request for UCX Wages
    - Send Type 2 request to include both UCFE and UCX wages

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UCX Affidavit

22.a. OFFICIAL AUTHORIZED TO SIGN (Typed name, grade, title, signature)
ESIGNED BY: JEFFERSON.BRUCE.1011557933
BRUCE JEFFERSON, GS07, LEAD HR ASST TRANS CE

b. DATE (YYYYMMDD)
20130524

Response Pending
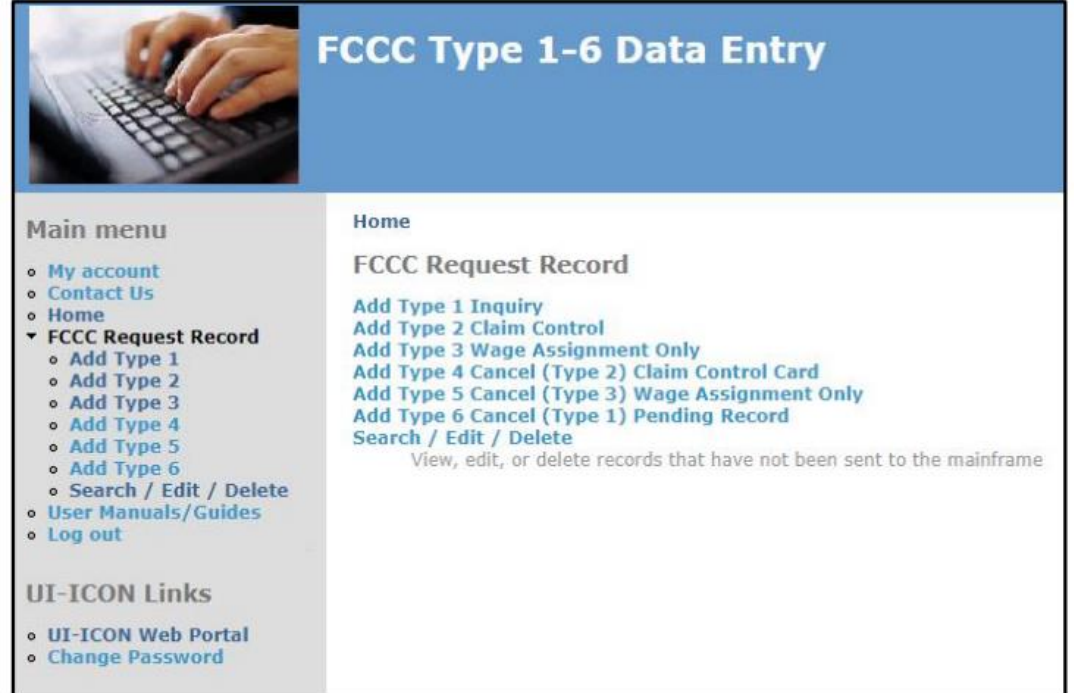
Initiate Affidavit Process
- DD214 (not member 1 as it does not contain character of service information)
- Order to Report and Order to Release
- Order to Report containing an endorsement of release

FCCC Type 1-6 Data Entry

- Available at:
- www.ui.icon.org

FCCC Exceptions

Questions

Contact Information:

Paula Hobdy-Paula.Hobdy@Conduent.com

Cindy Morris-cmorris@naswa.org

5 Minute Break?

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