

IB5

July 27 Tech Talk



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- **PLEASE NOTE: If you are a contractor attending these calls, you must have your State present during your attendance. Vendors are not allowed to attend the remainder of these sessions.**

Definitions

Paying State (definition amended January 2009)-A single State against which a claimant files a CWC, if the claimant has employment and wages in that State's base period and the claimant qualifies for Unemployment Compensation in that State using the combined employment and wages.

Transferring State-A State in which a Combined-Wage Claimant had covered employment and wages in the base period of a Paying State, and which transfers such employment and wages to the Paying State for its use in determining the benefit rights of such claimant under its law



Definitions (cont'd)

Combined Wage Claimant. A claimant who has covered wages under the unemployment compensation law of more than one State and who has filed a claim under this arrangement



IB5 is the second piece of the CWC process.

(The first piece is the IB4 discussed in the June ICON Tech Talk.)

- An IB5 provides the disposition of transferred wages and is sent from the Pay State to the Transfer State.**
- It is used for the filing of combined wage claim determinations to let the Transferring State know if the Paying State is using or returning transferred wages.**
- The IB5 effective date, b/p end date and wages (if used) must match the IB4R response otherwise wages must be returned and re-requested.**



**An IB5 either
returns ALL transferred wages
or
uses ALL transferred wages**

You cannot split wages.

**The IB5 model code provided the
capability to create, print, resend, modify
and view IB5 information.**



IB5 Main Menu

07/20/22
15:41

ICON IB5 MAIN MENU

USERID: _____
PASSWORD:

OPTION: _ **SSN** ___ - ___ - _____

- (1) **IB5 DATA ENTRY**
- (2) **IB5 VIEWING BY SSN** **(SSN OPTIONAL)**
- (3) **IB5 IN PROGRESS** **(SSN OPTIONAL)**
- (4) **IB5 LOCAL DATA ENTRY**

ENTER YOUR ASSIGNED USERID/PASSWORD AND OPTION
PRESS ENTER TO CONTINUE
PRESS CLEAR TO EXIT



Option 1-IB5 Data Entry

IB 5 DETERMINATION OF COMBINED-WAGE CLAIM ----- TODAY IS 07/20/22
 COMMAND ENTRY ==> TUESDAY
 TRAN ST __ SSN _____ FIRST _____ M_ LAST _____
 EFCT DATE _____ BENEFIT YR ENDS _____ BASE PERIOD ENDING DATE _____

_ CLAIMANT INELIGIBLE AS WAGES ARE INSUFFICIENT. TRANSFERRED
 _ COMBINING WAGES DOES NOT INCREASE BENEFIT. TRANSFERRED W
 _ COMBINED WAGE CLAIM WITHDRAWN. TRANSFERRED WAGES RTND.
 _ CLAIMANT IS ELIGIBLE. DETERMINATION ISSUED.
 _ CLAIMANT IS ELIGIBLE. REDETERMINATION ISSUED.

COMMENT: _____

PROGRAM TYPE: UI_ UCFE_ UCX_ EB_ OTHER_
 BENEFIT RIGHTS: WBA _____ MBA _____ WDA _____

ST	WAGES USED	%	TOTAL MAX	CHRGBL *	ST	WAGES USED	%
—	_____	_____	_____	*	—	_____	_____
—	_____	_____	_____	*	—	_____	_____
—	_____	_____	_____	*	—	_____	_____
—	_____	_____	_____	*	—	_____	_____
—	_____	_____	_____	*	—	_____	_____

PAY ST 12 BY: _____ TOTAL: _____
 PF3=SEND PF4=CANCEL PF5=FILE CLEAR=EXIT

State Postal code or FIPS code of participating State or UX for military wages or FE for federal wages or 99 if in the tenth occurrence and there are more than 10 States.



Sample IB5 w/more than 10 States page 1 of 2

IB 5 DETERMINATION OF COMBINED-WAGE CLAIM ----- TODAY IS 07/20/22
 COMMAND ENTRY ==> TUESDAY

TRAN ST 04 SSN 123483365 FIRST JOSE M_ LAST BROWN
 EFCT DATE 010522 BENEFIT YR ENDS 010323 BASE PERIOD ENDING DATE 093021

_ CLAIMANT INELIGIBLE AS WAGES ARE INSUFFICIENT. TRANSFERRED WAGES RTND.
 _ COMBINING WAGES DOES NOT INCREASE BENEFIT. TRANSFERRED WAGES RTND.
 _ COMBINED WAGE CLAIM WITHDRAWN. TRANSFERRED WAGES RTND.
 X CLAIMANT IS ELIGIBLE. DETERMINATION ISSUED.
 _ CLAIMANT IS ELIGIBLE. REDETERMINATION ISSUED.
 COMMENT: MORE THAN 10 STATES THIS IS PAGE 1 OF 2 IB5'S

PROGRAM TYPE: UI X UC FE _ UCX _ EB _ OTHER

BENEFIT RIGHTS: WBA 578.00 MBA 15028.00 WDA

ST	WAGES USED	%	TOTAL MAX	CHRGBL	*	ST	WAGES USED	%	TOTAL MAX
CHRGBL 12	25000.00		49.944	3571.00	*	04	155.00	.309	22.09
09	987.00	1.971	140.93		*	13	5998.00	11.982	856.71
16	400.00	.799	57.13		*	18	225.00	.449	32.10
21	278.00	.555	39.68		*	22	7666.00	15.314	1094.95
28	125.00	.249	17.80		*	99	9222.00	18.423	1317.24

PAY ST 25 BY: MA.CWC UNIT
 PF4=END

TOTAL: 100 % \$ 15028.00



State code of "99" represents ALL remaining states

Sample IB5 w/more than 10 States page 2 of 2

IB 5 DETERMINATION OF COMBINED-WAGE CLAIM ----- TODAY IS 07/20/22
 COMMAND ENTRY ==> TUESDAY

TRAN ST 04 SSN 123483365 FIRST JOSE M_ LAST BROWN
 EFCT DATE 010522 BENEFIT YR ENDS 010323 BASE PERIOD ENDING DATE 093021

- _ CLAIMANT INELIGIBLE AS WAGES ARE INSUFFICIENT. TRANSFERRED WAGES RTND.
- _ COMBINING WAGES DOES NOT INCREASE BENEFIT. TRANSFERRED WAGES RTND.
- _ COMBINED WAGE CLAIM WITHDRAWN. TRANSFERRED WAGES RTND.
- X CLAIMANT IS ELIGIBLE. DETERMINATION ISSUED.
- ~~_ CLAIMANT IS ELIGIBLE. REDETERMINATION ISSUED.~~

COMMENT: MORE THAN 10 STATES THIS IS PAGE 2 OF 2 IB5'S

PROGRAMTYPE: UI X UCFC UCX_ EB_ OTHER_

BENEFIT RIGHTS: WBA 578.00 MBA 15028.00 WDA

ST	WAGES USED	%	TOTAL MAX CHRGBL	*	ST	WAGES USED	%	TOTAL MAX CHRGBL
41	5411.00	10.810	772.92	*	48	3811.00	7.614	544.40
99	40834.00	81.572	5832.40	*				
				*				
				*				
				*				

PAY ST 25 BY: MA.CWC UNIT
 PF4=END

TOTAL: 100 % \$ 15028.00

State code of "99" represents ALL states detailed on the 1st IB5



OUTGOING IB5 TIPS

When using wages transferred in on an IB4R response:

- ✓ **IB5 must have wages in your State and at least one other State**
- ✓ **Effective date, b/p ending date & wages must match the IB4R response**
- ✓ **If Transferred wages are Federal Civilian the State FIPS on the IB5 should be FE**
- ✓ **If Transferred wages are UCX the State FIPS on the IB5 should be UX**



OUTGOING IB5 TIPS (cont'd)

When transferred wages are not used:

IB5 must be created to return the wages and it must indicate the reason why:

- Claimant ineligible as wages are insufficient
- Combining wages does not increase benefit
- Combined wage claim withdrawn



INCOMING IB5 TIPS (cont'd)

When IB5 indicates wages returned:

- ✓ Find your outgoing IB4 response to determine the wages you transferred and make sure you “unflag” the wages so they are available again



INCOMING IB5 TIPS

When IB5 indicates wages used:

- ✓ **Verify the claim effective date. Make sure your State's breakdown of used wages matches the wages you transferred. Ensure the transferred wages are flagged as "used"**

NOTE: IB5's must be retained for several years because the IB6 system needs them to edit records



Responsibilities of Paying State

✓ Promptly

- Send IB4 requests and review IB4R responses
- Send IB5 with disposition of wages.

NOTE: Effec date, b/p end date & wages must match IB4R response. If you pay dependent allowance, it must be included in the IB5 MBA to avoid discrepancies on IB6

- At end of each quarter, send Transferring State all benefit charges (IB6)



Responsibilities of Transferring State

✓ Promptly

- Respond to IB4 request
- Flag Transferred wages as “used” unless wages returned via IB5
- Reimburse Paying State for ALL benefit charges (IB6). Disputes are handled via IB13 and subsequent IB6 adjustment



Additional References

- UI-ICON INFO Website
- ETA Handbook 399 CWC
- Code of Federal Regulations-20 CFR Part 616
- Unemployment Insurance Program Letters
 - 25-90, 34-95, 05-97, 04-04 Change 1, 01-09

Note: UIPL 34-95 requests States to use a 3 position decimal when calculating CWC charges and to provide for a plus or minus \$1.00 tolerance.



Reference Material and Documentation

- **IB5 Tech Talk presentation 😊**
- **IB5 System User Guide**
- **IB5 Installation Guide**
- **IB5 Variable Description**
- **UI-ICON Web Services Info Document**

- **IB5 Schema available upon request**



IB4/IB5/IB6 Relationship

- **IB4 – Request for wages (1989) Pay State**
- **IB4R – Sends wages (1989) Transfer State**
- **IB5 –Determination/Redetermination/Return Wages (1990) Pay State**
- **IB6 – Actual quarterly bill (1997) Pay State**



IB4/IB5/IB6 Relationship

Fields

- SSN
- BYE Date
- Effective Date
- Base Period Begin (IB4 and IB4R)
- Base Period End Date (IB4, IB4R, IB5 and IB6)
- Type Claim
- Sender
- Receiver



IB5 Application

How to support:

- Keep IB5s online for at least 2 years to facilitate IB6 processing
- If processing in batch, process IB5s first as they may be returning wages that a subsequent IB4 will ask for.
- If modernizing, create audit trail to know exactly what was sent another State and exactly what your State received.
- Research being able to send and receive IB5s real-time (with web services)
- If using model code, write/modify interface to write 'clean' IB5s directly to IB5 base file and create Export Pending records



Things to keep in Mind as You Modernize (CWC)

- On Demand System
- Allow Users to manually enter IB4s and IB5s – both incoming and outgoing
- Make sure your messageIDs are unique
- Release “clean” records created by interface programs
- The SSN, Sender, Receiver, Effective Date, BYE Date, Type Claim and Base Period Dates (only END Date on IB5/6) *MUST* be the same on the IB4, IB4R, IB5 and IB6.
- Make sure your dates are formatted correctly.



Things to keep in Mind as You Modernize (IB5)

- **Make sure all IB4R responses are received before sending the IB5.**
- **If you do not use wages, return them using the IB5**
- **If you get an Amended IB4R, send an Amended IB5**
- **Check your transmission counts**
- **Make sure there is some sort of purge**
- **Retain your IB5s for at least 2 years**



Things to keep in Mind as You Modernize (IB5)

- If wages are being returned, there should not be any entries in the wage table
- If the IB5 disposition is Determination or Redetermination issued, the wage table must be filled in
- There should be at least two entries in the wage table
- A State can only appear in the IB5 wage table once
- Make sure FE, UX and 99 can be entered as a state in the wage table



Things to keep in Mind as You Modernize (IB5)

- The individual percentages in the IB5 wage table *MUST add up to 100%*
- The individual Max Chargeable Amounts in the IB5 wage table *MUST add up to the MBA*
- One *AND ONLY ONE* disposition can be marked on the IB5
- Make sure a Program Type (i.e. UI, UCFE, UCX, etc.) is marked in the IB5. You can select more than one Program Type.



Things to keep in Mind as You Modernize

- **No commas or special characters Name**
 - **SMITH, JR should not be used instead use SMITH JR**
- **No accent marks**
- **No ‘~’ over N’s**
- **Quote mark is only OK in the last name**
 - **WILLIAM D’ANGELO is OK**
 - **D’ANGELO WILLIAMS is not**



Things to keep in Mind as You Modernize

- **The first step of your import should be to save the data you received from the hub so you can see what you received.**
- **When modernizing, take the common carrier record layout and compare it against your production data to see which fields are populated. (You can do this on outgoing or incoming data as it's in the same format for current NJE/FTP/SFTP/FTPS States.)**
- **Make sure you are not sending records to yourself**
- **Decide what you are going to do with incoming invalid data**



Questions?

Contact information:

icon@naswa.org or

UI-SUPPORT@CONDUENT.COM

1-800-327-9250, Option 2

