## Federal Claims Control Center UCX and UCFE

Oct 26 Tech Talk



At the completion of this training you will have a basic understanding of:

The Federal Claims Control Center (FCCC), it's function and how it provides information to the States for integrity purposes



#### **TOPICS**

 PURPOSE OF FEDERAL CLAIMS CONTROL CENTER

• EXPLANATION OF 6 TYPE OF FCCC REQUEST & RESPONSE RECORDS

SAMPLE REPORTS

QUESTIONS AND ANSWERS



#### ADDITIONAL UCFE REFERENCE

- ET Handbook No. 391 (UCFE program)
- <u>Title 5-United States Code-Chapter 85</u>
- Code Of Federal Regulations-Title 20-Part 609
- UI-ICON INFO Website
  - UCX, UCFE & FCCC Design Guide
  - WebEx Power Points
- Unemployment Insurance Program Letters (UIPL)
  - 16-14, 07-13, 27-06, 47-01, 29-00, 41-99, 22-97, 9-97 & 46-92



#### ADDITIONAL UCX REFERENCE

- ET Handbook No. 384 (UCX program)
- <u>Title 5-United States Code-Chapter 85</u>
- Code Of Federal Regulations-Title 20-Part 614
- UI-ICON INFO Website
  - UCX, UCFE & FCCC Design Guide
  - WebEx Power Points
- Unemployment Insurance Program Letters (UIPL)
  - 14-16, 16-14, 09-10, 27-06, 47-01, 5-97 & 35-95



### Federal Claims Control Center Purpose

The FCCC was established by US DOL to be the official source of military wage and separation information for States to determine UCX entitlement. It maintains the Department's copy of the DD214 and/or DD215 for UCX purposes. The FCCC also maintains a system of "claims control records" for program integrity purposes.



### FCCC Request Processing Overview

It is the State's responsibility to ensure the proper Federal Claims Control Center (FCCC) record is sent to the HUB each time an initial claim involving Federal civilian (UCFE) or Federal military (UCX) wages is filed.

FCCC request records should be integrated into the claims filing process. In addition, each State must provide a process for staff to independently create and electronically send to the Hub all 6 types of FCCC request records.



#### FEDERAL PROGRAM COORDINATOR

As the FPC it is your responsibility to ensure UNIFORM interpretation of the UCX and UCFE requirements within your State agency



#### **OVERVIEW OF FCCC RECORD TYPES**

#### Chart from UIPL 27-06

UCFE/UCX RECORD TYPES					
Type of Record	Event	When to Use			
Type 1 – Initial Request for Wage & Separation Information	Claim Filed	Each time a UCFE/UCX claim is filed			
Type 2 – UCFE or UCX or Joint Claim Control	Benefit Year Established	Each time a benefit year is established using UCFE, UCX or a combination of both types of wages.			
Type 3 – Wage Assignment Only	Benefit Year Established – Lag Period Wages	When a UI benefit year is established with causes the assignment of lag period UCX or UCFE wages. When a benefit year is established under another state's law which causes a wage assignment in your state.			
Type 4 – Cancellation of Type 2 Claim Control	Cancellation of Control Record	When a benefit year that caused an assignment is canceled.			
Type 5 – Cancellation of Type 3 Wage assignment Only Control	Benefit Year Cancelled using Lag Wages	When a benefit year that caused an assignment is canceled.			
Type 6 – Cancellation of UCX Pending Record	Withdrawal of UCX Claim or Erroneous Information Caused Pending Record	When a UCX claim is withdrawn or canceled, or when erroneous information (e.g. incorrect separation date on the Type 1 record) caused an erroneous pending record to be created.			

NASWA Interstate Connection Network

A Type 1 request **must** be sent to FCCC each time a new initial claim involving Federal wages is filed (both civilian & military - even on transitional claims)

A Type 1 request serves two purposes, it enables a State to:

Find out if a claim has been established which assigned Federal wages

Receive the "official" UCX wage and separation information

Note: UCX wages returned on a Type 1 response does NOT mean the wages can automatically be used to establish a benefit year. Edit/Process flag is your 1<sup>st</sup> action indicator, followed by messages, completed 1<sup>st</sup> full term of service & character of service.

▶ Before using UCX wages, staff must review the entire response, especially the edit/process flag and message number, to determine proper claims filing options. If, after reviewing the response it is determined a benefit year may be established using UCFE or UCX wages, a Type 2 request must be sent to FCCC. Remember, the military branches are reviewing our records in MSDES and will protest if necessary.



Every FCCC request will receive a response. Every response must be reviewed by state workforce agency.

Depending on what is found during processing of a Type 1 request you could receive different response Types. These are some samples:

Type 1 response with wages. (determine if wages are usable)

Type 1 response with no wages. (If claimant provides proper proof of wages you may take an affidavit)

Type 1 response with "E" in edit/process flag indicating request could not be processed (fix error(s) and submit new Type 1 request)

Type 2 response indicating control card on file. (contact State with existing control card to determine further course of action)

Type 3 response indicating control card file. (contact state with existing control card to determine further course of action)



#### **UCX AFFIDAVIT PROCESS**

- FCCC response with a message that says: "..... Response Pending" indicates approval for State to take affidavit using the following:
- ✓DD214 (not member 1) OR
- √"orders to report" AND "orders of release"

OR

√"orders to report" containing an endorsement of release



#### **UCX AFFIDAVIT PROCESS**

(cont'd)

NOTE: When taking an affidavit using a DD214

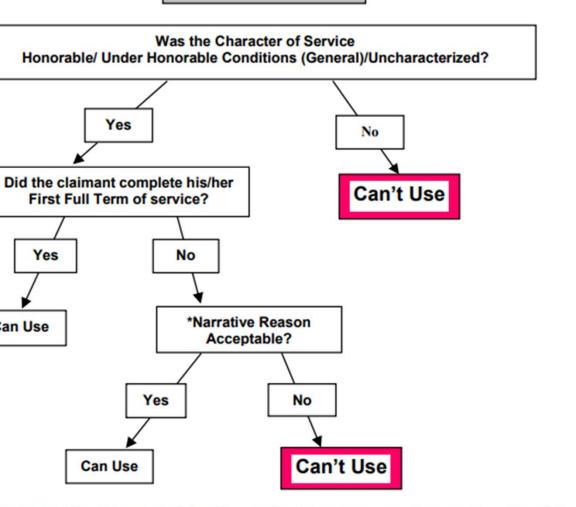
- A DD214 sent to you directly (by the military via USPS or MSDES) must be signed and must be considered an affidavit until you receive a Type 1 response from FCCC with the "official" UCX wage and separation information
- An electronic signature on a DD214 looks like this:

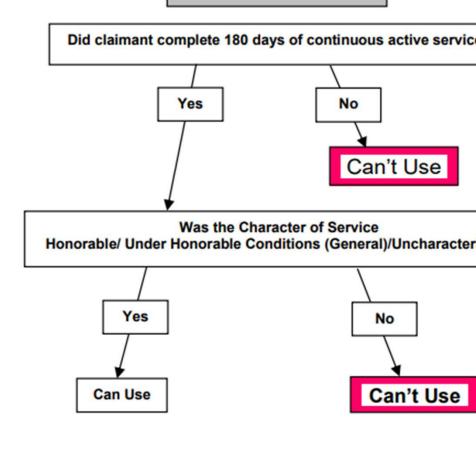
	22.a. OFFICIAL AUTHORIZED TO SIGN (Typed name, grade, title, signal ESIGNED BY: JEFFERSON.BRUCE.1011557933	ture)	b. DATE (YYYYMMDD)
<u></u>	BRUCE JEFFERSON, GS07, LEAD HR ASST TRANS	CE	20130524

#### UCX DECISION TREE rev September 2020\*

ACTIVE Components (Regular)

RESERVE Components (Guard & Reserves)





JIPL 30-20, "Acceptable" Narrative Reasons for Separation Used in the Unemployment Compensation for Ex-servicemembe Program and Processing Discrepancies.

cemember was an Officer (check paygrade), please refer to ET Handbook No. 384, Unemployment Compensation for Ex-Serv The use of Federal military service and wages.

### Member Completed 1st full term

Currently, not every DD214/215 block 18 includes

"member has completed 1st full term" or

"member has not completed 1st full term"

For this reason, the FCCC response may have "U" unknown for "completed 1st full term."

Note: States using MSDES should clarify any information related to the processing of a UCX claim by sending a State Acknowledgement to the military branch via MSDES. States not using MSDES should send an ETA 843 to the military branch to clarify information related to processing a UCX claim.



Type 2 - Request to post UCFE and/or UCX claims control data when a benefit year is established that uses Federal wages.

A type 2 request must be sent to FCCC under the following conditions:

- > A benefit year is established based upon Federal civilian and/or Federal military wages.
- Federal or Federal Military wages have been transferred (via an IB4 response) and used to establish a benefit year in the Paying State. In this case, the Paying State sends the Type 2 request and includes the FIPS code of the Transferring State in field #14 (also field #15 if more than one Transferring State).

Note: A Type 2 request posts a claims control record of the establishment of a benefit year that assigned and used Federal wages. A Type 2 record is necessary for integrity purposes to lessen the chances of duplicate claims and overpayments. The Type 2 separation date must be correct. If a type 2 request record is not sent to FCCC there is no record of a benefit year being established.

Think of it this way.....When a Paying State establishes a benefit year using in state covered wages the wages are flagged as used to prevent duplicate use. This same thought process can be used for UCFE and/or UCX claims except the record of flagging wages as "assigned & used" is accomplished via a Type 2 FCCC request.



The creation of the MSDES website caused USDOL to ask all States to add some information to their UCX and Joint Type 2's they were sending to the UI-ICON Hub.

States were asked to add:
branch of service,
Weekly Benefit Amount,
Maximum Benefit Amount &
Dependent Allowance (if applicable).

Note: Reference UIPL 13-14 for UCX Automation details

States Not sending WBA, MBA, DA & Branch: AK, CA, NJ & RI



Type 3 - Request to post UCFE and/or UCX claims control data when a benefit year is established that causes the <u>assignment of LAG period ONLY</u> Federal wages.

- A Type 3 request must be sent to FCCC whenever a benefit year is established which causes assignment of <u>LAG PERIOD ONLY</u> Federal civilian and/or Federal military wages. The sep date must be correct.
- Federal wages must be assigned at the 1<sup>st</sup> claim filed subsequent to separation.

(even if the Fed employer is the separating employer but NOT in the base period)

 Whenever a benefit year is established which includes UCX or UCFE wages a Type 2 or Type 3 must be sent to FCCC.

The difference between a Type 2 and a Type 3 are:

- Type 2 is sent whenever UCX or UCFE wages are included in the Base Period of the claim.
- Type 3 is sent whenever UCX or UCFE wages are in the LAG Period ONLY and are NOT in the Base Period of the claim.



Note: A Paying State should never send a Type 2 & Type 3 on the same program code & benefit year.

Type 3 as a Transferring State??????

This is NOT likely but occasionally will happen:

- UCFE or UCX wages are transferred via IB4 and the UCFE or UCX separation date is after the Paying State base period end date
- If the Paying State establishes a benefit year, they will send FCCC a
  Type 2 with the Transferring State FIPS but in addition, there are
  LAG wages that must be assigned to Transferring State
- Under this scenario the Transferring State should send FCCC a Type 3 to take assignment of the LAG period wages

**NOTE**: This does NOT mean whenever UCFE or UCX wages are transferred that the Transferring State will send a Type 3



• Type 4 - Request to cancel an existing Type 2 claims control record. A type 4 request must immediately be sent to FCCC whenever a benefit year involving Federal civilian and/or Federal military wages has been withdrawn or cancelled.

Note: Once a Type 2 claims control record is on file at FCCC if the benefit year effective date or separation date changes the State must send a Type 4 to cancel the Type 2 on file. A new Type 1 is necessary when the effective date, branch of service or base period changes, then a new Type 2.

• Type 5 - Request to cancel an existing Type 3 claims control record. A type 5 request must immediately be sent to FCCC whenever a Federal wage assignment only (type 3) record must be cancelled.

NOTE: Don't forget to review your Type 4 or Type 5 responses to make sure the record was deleted.



Type 6 - Request to cancel a UCX "pending record" due to error or withdrawn /cancelled claim. A Type 6 request must immediately be sent to FCCC to cancel a "pending record". The pending record remains active until the needed service discharge form DD214 is received at the FCCC or the state sends a Type 6 record.

Note: A UCX pending record is created automatically when the FCCC receives a Type 1 request for Federal military information but does not have a matching DD214 or DD215 on file and has advised the State of this via a Type 1 response. Should a State discover that they sent the wrong separation date on the Type 1 request which caused a "pending record" to be created, a Type 6 record must be sent to cancel the erroneous "pending record" at the HUB as well as a new Type 1 request with the corrected separation date.



When a 'J'oint (UCFE & UCX) Claim is established:

For a 'J'oint claim, the Filing State must send two (2) Type 1 requests to FCCC

- □Type 1 request with program type = 'F' and UCFE separation date
- □Type 1 request with program type = 'X' and UCX separation date

The FCCC does NOT accept a 'J'oint type 1 request, it will be rejected and returned as an Error, if the State does NOT send a correct Type 1 it will never receive the official UCX wage and separation information.

#### Once the benefit year has been established:

• The Paying State must send a Type 2 request with program type 'J'oint. The Type 2 will include both UCX and UCFE separation dates (fields 7 & 8). The system will not allow a Type 2 program type 'X' and a Type 2 program type 'F' that have the same BYE. The second control record will be rejected as an error.



#### **FCCC Response Handling**

Important fields to check when reviewing a response:

Message #'s (fields #103 – 114) Edit / Process flag (field #118)

The response MAY include:
Separation Pay (field #74)
Disability Pension Pay (field #75)

Each State must decide how they will handle Separation and/or Disability Pay



### FCCC Response Handling (cont'd)

#### If the Edit/Process Flag contains an 'E'

- the record was <u>rejected</u> because of severe errors and was not accepted for processing.
- All response records with an 'E' in the Edit/Process Flag require manual intervention. <u>These errors need to be</u> <u>reviewed, corrected and re-submitted.</u>

#### If the Edit/Process Flag contains an 'P'

• 'P' means the record was accepted and was processed through the system. All messages must be reviewed for possible issues in order to determine proper claim filing options.



### FCCC Response Handling (cont'd)

The Edit/Process Flag in conjunction with the Error Message # is what determines the corresponding verbal description (see UIPL 47-01)

There are 41 possible process messages and 34 possible error messages.

The UCX-UCFE & FCCC Design Guide includes a list of ALL message #'s and a verbal description for each.

Each State must decide the best method to display response records to staff members.

- A sample program was provided to the States (at the time of migration) to format a response into a printed report
- SWA may decide to write their own program and display on a screen or print a report



#### **FCCC EXCEPTIONS WEB**

FCCC Exceptions is a website to request a copy of a DD214 on file at FCCC. In addition, when FCCC processes a response that meets one or more of the following conditions an image of the DD214 is added to the FCCC Exceptions website:

- More than two DD214s on file
- Wages must be reduced by days lost on DD214
- ➤ Used one of two SSNs to calculate (Overlapping Dates)
- Separation pay greater than 999,999.99
- Character of Service is NA



#### FCCC FAQ'S

State rec'd a Type 1 UCX response w/calculated wages msg P028 Request Sep Date MM/DD/YY is different from DD214 Sep Date. Pending record created. What does this mean?

It means the DD214 sep date does NOT match the State Type 1 request sep date therefore FCCC has created a pending record and will continue to contact the military branch on your behalf to request the DD214 for the sep date in your Type 1 request.

If the State determines their Type 1 sep date was wrong and the DD214 sep date is correct they should send a Type 6 UCX to cancel the pending record. In this case, a new Type 1 is NOT necessary because FCCC has already calculated and sent you the wages you need.

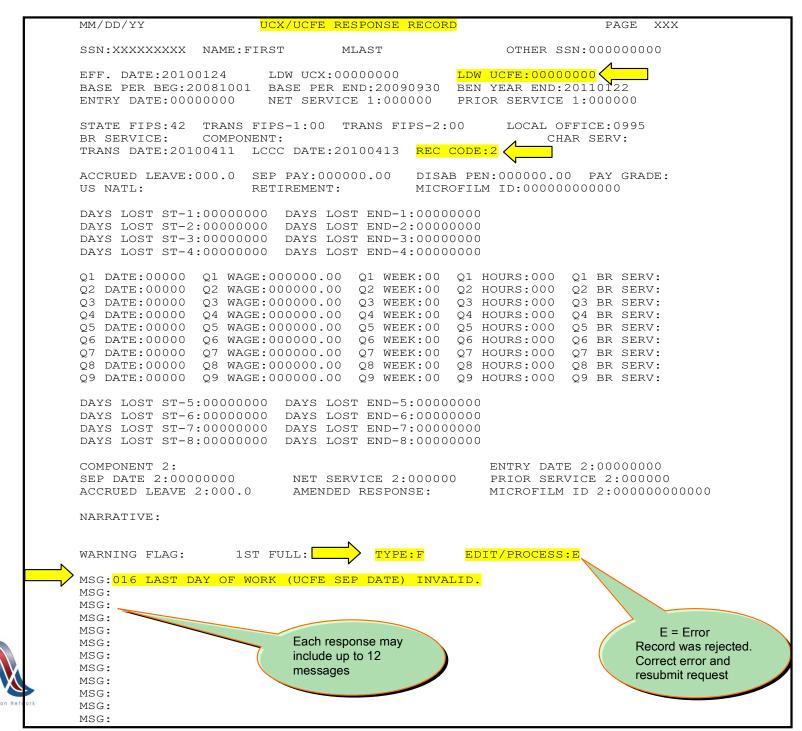


- Each State must have a method of printing or displaying responses.
- The next 4 slides are sample printed responses <u>using</u> <u>model code.</u>
- This program is available to all States for use on an IBM mainframe.
- If your State is NOT using model code your print out (if any) may look different but should provide the same detailed information.



```
MM/DD/YY
                     UCX/UCFE RESPONSE RECORD
                                                               PAGE XXX
SSN:XXXXXXXXX
              NAME: FIRST
                               MLAST
                                                   OTHER SSN: 000000000
EFF. DATE:20100411
                      LDW UCX:20090921
                                             LDW UCFE: 00000000
BASE PER BEG:20090101 BASE PER END:20091231 BEN YEAR END:0000000
ENTRY DATE: 20070719
                      NET SERVICE 1:020203
                                             PRIOR SERVICE 1:000000
STATE FIPS:48
              TRANS FIPS-1:00 TRANS FIPS-2:00
                                                   LOCAL OFFICE: 6490
BR SERVICE:01
             COMPONENT: ARMY RA
                                                        CHAR SERV: UH
REC CODE:1
ACCRUED LEAVE: 030.5 SEP PAY: 000000.00
                                        DISAB PEN:000000.00 PAY GRADE:E01
US NATL:U
                    RETIREMENT: N
                                        MICROFILM ID:200910211838
DAYS LOST ST-1:00000000 DAYS LOST END-1:0000000
DAYS LOST ST-2:00000000 DAYS LOST END-2:0000000
DAYS LOST ST-3:00000000 DAYS LOST END-3:00000000
DAYS LOST ST-4:00000000 DAYS LOST END-4:00000000
O1 DATE:20091
              Q1 WAGE:008914.50 Q1 WEEK:13 Q1 HOURS:720 Q1 BR SERV:01
Q2 DATE:20092
              Q2 WAGE:008914.50 Q2 WEEK:13 Q2 HOURS:720 Q2 BR SERV:01
03 DATE: 20093
              Q3 WAGE:011044.07 Q3 WEEK:13 Q3 HOURS:648 Q3 BR SERV:01
04 DATE:00000
              O5 DATE:00000
              Q5 WA 000000.00 Q5 WEEK:00 Q5 HOURS:000 Q5 BR SERV:00
O6 DATE:00000
              06 WAGE: 00 00 06 WEEK: 00 06 HOURS: 000 06 BR SERV: 00
                                 №7 WEEK:00 Q7 HOURS:000
Q7 DATE:00000
              Q7 WAGE:000000.00
                                                          Q7 BR SERV:00
Q8 DATE:00000
              Q8 WAGE:000000.00
                                 08
                                       ~~00 Q8 HOURS:000 Q8 BR SERV:00
09 DATE:00000
              09 WAGE:000000.00
                                 09 WEEK: HOURS:000
                                                           09 BR SERV:00
DAYS LOST ST-5:00000000 DAYS LOST END-5:00000000
                                                        UCX quarterly wages
DAYS LOST ST-6:00000000 DAYS LOST END-6:0000000
DAYS LOST ST-7:00000000 DAYS LOST END-7:00000000
DAYS LOST ST-8:00000000 DAYS LOST END-8:00000000
COMPONENT 2:
                                                 ENTRY DATE 2:0000000
SEP DATE 2:00000000
                                                 PRIOR SERVICE 2:000000
                         NET SERVICE 2:000000
ACCRUED LEAVE 2:000.0
                         AMENDED RESPONSE:
                                                 MICROFILM ID 2:00000000000
NARRATIVE:MISCONDUCT SERIOUS OFFENSE
                                              EDIT/PROCESS: F
WARNING FLAG:
                  1ST FULL:N
                                   TYPE:X
MSG:026 REQUEST RECORD ACCEPTED.
MSG:
MSG:
MSG:
                                     Accepted at FCCC but State must still
MSG:
                                     review response record for eligibility to
MSG:
                                     determine if the military wages can be
MSG:
                                     assigned. In this case the
MSG:
VSG .
                                     Char of service = UH
MSG:
                                     1st Full = N
MSG:
                                     Narrative = Misconduct Serious Offense
MSG:
```

```
MM/DD/YY
                    UCX/UCFE RESPONSE RECORD
                                                           PAGE
                                                                 XXX
SSN:XXXXXXXXX NAME:TEST
                            MTEST II
                                                  OTHER SSN:000000000
EFF. DATE:20091101
                     LDW UCX:20091018
                                          LDW UCFE:0000000
BASE PER BEG:20080701 BASE PER END:20090630 BEN YEAR END:0000000
ENTRY DATE:20080929
                     NET SERVICE 1:010020 PRIOR SERVICE 1:080621
STATE FIPS:17 TRANS FIPS-1:00 TRANS FIPS-2:00
                                                LOCAL OFFICE: 007
BR SERVICE: 01 COMPONENT: ARMY ARNGUS
                                                     CHAR SERV: HO
TRANS DATE:20091109 LCCC DATE:20091112
ACCRUED LEAVE:000.0 SEP PAY:000000.00
                                      DISAB PEN:000000.00 PAY GRADE:E06
US NATL:U
                   RETIREMENT:N
                                      MICROFILM ID:200911061207
DAYS LOST ST-1:00000000 DAYS LOST END-1:00000000
DAYS LOST ST-2:00000000 DAYS LOST END-2:00000000
DAYS LOST ST-3:00000000 DAYS LOST END-3:0000000
DAYS LOST ST-4:00000000 DAYS LOST END-4:00000000
Q1 DATE:20083     Q1 WAGE:000351.42     Q1 WEEK:00     Q1 HOURS:016     Q1 BR SERV:01
Q3 DATE:20091 Q3 WAGE:015813.90 Q3 WEEK:13 Q3 HOURS:720 Q3 BR SERV:01
Q4 DATE:2005. 04 WAGE:015813.90 Q4 WEEK:13 Q4 HOURS:720 Q4 BR SERV:01
Q5 DATE:20093 Q5 ... CE:015813.90 Q5 WEEK:13 Q5 HOURS:720 Q5 BR SERV:01
Q6 DATE:20094 Q6 WAGE: 162.78 Q6 WEEK:04 Q6 HOURS:144 Q6 BR SERV:01
Q8 DATE:00000 Q8 WAGE:000000.00
                               Q0 V:00 Q8 HOURS:000 Q8 BR SERV:00
Q9 DATE:00000
             09 WAGE:000000.00
                                          HOURS:000 09 BR SERV:00
                               Q9 WEEK.
DAYS LOST ST-5:00000000 DAYS LOST END-5:0000000
                                                     UCX quarterly wages
DAYS LOST ST-6:00000000 DAYS LOST END-6:00000000
DAYS LOST ST-7:00000000 DAYS LOST END-7:00000000
DAYS LOST ST-8:00000000 DAYS LOST END-8:00000000
COMPONENT 2:
                                              ENTRY DATE 2:0000000
SEP DATE 2:0000000
                       NET SERVICE 2:00000
                                              PRIOR SERVICE 2:000000
                                              MICROFILM ID 2:000000000000
ACCRUED LEAVE 2:000.0
                        AMENDED RESPONSE:X
NARRATIVE:COMPLETION OF REQUIRED ACTIVE SERVICE
                 1ST FULL:Y
                                           EDIT/PROCESS:P
WARNING FLAG:
                                 TYPE:X
MSG: 016 AMENDED RESPONSE, WAGES SENT FROM DD-214.
MSG:
MSG:
MSG:
MSG:
                    DD 214 rec'd at FCCC.
MSG:
                    Amended Type 1 response
MSG:
                    sent to State w/UCX
MSG:
                    quarterly wages
MSG:
MSG:
```



#### **FCCC RESPONSE WITH 2 ACTIVATIONS**

Earliest Sep Date in Base Period

```
SSN:XXXXXXXXX NAME:NATHAN
                                 DLOGAN
                                                      OTHER SCH
                       LDW UCX:20110630
                                               LDW UCFE:00000000
EFF. DATE:20120708
BASE PER BEG:20110401 BASE PER END:20120331
                                               BEN YEAR END:0000000
ENTRY DATE: 20101001
                       NET SERVICE 1:000900
                                               PRIOR SERVICE 1:080518
STATE FIPS:48
               TRANS FIPS-1:00 TRANS FIPS-2:00
                                                     LOCAL OFFICE: 6460
BR SERVICE:01
               COMPONENT: ARMY ARNGUS
                                                          CHAR SERV: HO
TRANS DATE: 20120709 LCCC DATE: 20120713
                                          DISAB PEN:000000.00 PAY GRADE:E07
ACCRUED LEAVE: 000.0
                     SEP PAY:000000.00
US NATL:U
                     RETIREMENT: N
                                          MICROFILM ID:201109290203
DAYS LOST ST-1:00000000
                         DAYS LOST END-1:00000000
DAYS LOST ST-2:00000000
                         DAYS LOST END-2:0000000
DAYS LOST ST-3:00000000
                         DAYS LOST END-3:0000000
DAYS LOST ST-4:00000000
                         DAYS LOST END-4:00000000
O1 DATE:20112 O1 WAGE:019306.80
                                  01 WEEK:13
                                               01 HOURS:720
                                                             01 BR SERV:01
Q2 DATE:20113 Q2 WAGE:019306.80 Q2 WEEK:13
                                               Q2 HOURS:720
                                                             Q2 BR SERV:01
Q3 DATE:20114 Q3 WAGE:019306.80
                                  Q3 WEEK:13
                                               Q3 HOURS:720
                                                             03 BR SERV:01
Q4 DATE:20121 Q4 WAGE:019306.80
                                 Q4 WEEK:13
                                               Q4 HOURS:720
                                                             Q4 BR SERV:01
Q5 DATE:20122 Q5 WAGE:019306.80 Q5 WFFK:14
                                               Q5 HOURS:720
                                                             Q5 BR SERV:01
Q6 DATE:20123 Q6 WAGE:001072.60
                                  Q6 WEEK:01
                                                    TDC • 040
                                                             06 BR SERV:01
Q7 DATE:00000 Q7 WAGE:000000.00
                                  Q7 WEEK:00
                                               Q7 HOURS: UU
                                                                              UCX quarterly wages
Q8 DATE:00000 Q8 WAGE:000000.00
                                  Q8 WEEK:00
                                               Q8 HOURS:000
                                                             Q8 BR SERV
                                                                             for both activations
               09 WAGE:000000.00
                                  09 WEEK:00
                                               09 HOURS:000
O9 DATE:00000
                                                             09 BR SERV
DAYS LOST ST-5:00000000
                         DAYS LOST END-5:0000000
DAYS LOST ST-6:00000000
                         DAYS LOST END-6:0000000
DAYS LOST ST-7:00000000
                         DAYS LOST END-7:0000000
DAYS LOST ST-8:0000000
                         DAYS LOST END-8:0000000
COMPONENT 2: ARMY ARNGUS
                                                   ENTRY DATE 2:20110701
SEP DATE 2:20120705 -
                          NET SERVICE 2:010005
                                                   PRIOR SERVICE 2:090218
                              RESPONSE:X
ACCRUED LEAVE 2:000.0
                                                   MICROFILM ID 2:201207130314
NARRATIVE: COMPLETION OF REQUIRED ACTIVE SERVICE
                                                                             2<sup>nd</sup>
                                                                             Activation
WARNING FLAG:
                   1ST FULL:Y
                                     TYPE:X
                                                EDIT/PROCESS:P
                                                                             Sep Date
MSG:016 AMENDED RESPONSE, WAGES SENT FROM DD-214.
MSG:
MSG:
MSG:
MSG:
MSG:
MSG:
```

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32 MSG:

Activation

MSG: MSG:

The next few slides are two different scenarios involving UCX and the proper use of the **Federal Claims Control** Center (FCCC)



# 1<sup>st</sup> scenario is a situation with UCX and in-State covered employment in 2 States



### UCX COMBINED WAGE CLAIM SCENARIO

- Claimant work history:
  - WI covered employment 5-1-21 11-1-22
  - Army 12-10-21 3-29-22
  - MI covered employment 8-1-22 12-8-22
- On 12-10-21, while in MI, claimant contacted WI to file a claim (since the claimant was physically in MI when he filed his claim that means the UCX wages must be assigned to MI).
- The claimant has MI & WI wages therefore under the Combined Wage rules he has a choice of filing:
  - Straight MI claim,
  - Straight WI claim
  - Combined Wage Claim in MI or WI.
- The claimant chose to file a CWC in WI.
- WI sends a UCX Type 1 request to FCCC (the purpose of the UCX Type 1 request is to find out if another State has already taken assignment of the UCX wages.)



### UCX COMBINED WAGE CLAIM SCENARIO (cont'd)

- WI receives a UCX Type 1 response with message P002 No Control Record or DD214 on file. Response Pending.
- After reviewing the FCCC response, WI knows the UCX wages are available, they also know the claimant is physically in MI therefore they need to request the UCX wages from MI but 1<sup>st</sup> they need to send FCCC a UCX Type 6 to cancel the pending record.

Note: It is important to review FCCC responses because they vary depending on what is on file at FCCC. (ex) The FCCC response could be a Type 2 showing UCX wages already assigned in which case WI would need to investigate that assignment before proceeding.

It is also possible that the DD214 could be on file at FCCC in which case WI would have received a UCX Type 1 response with UCX wage and separation information in which case WI would NOT need to send FCCC a UCX Type 6 but they would need to send MI the IB4 request.

• WI sends MI an <u>IB4</u> request to transfer UCX wages as well as MI in-state covered wages. IB4 should include a comment explaining they are requesting UCX wages.

Note: a comment on an IB4 request means it should be handled manually.



### UCX COMBINED WAGE CLAIM SCENARIO (cont'd)

- Upon receipt of IB4 request, MI sends FCCC a UCX Type
   1 request and receives a UCX Type 1 response with
   Wages. Note: MI must be able to send FCCC a UCX Type 1 request even
   though they are NOT the Paying State.
- MI reviews the FCCC response and determines the UCX wages are usable, they add the UCX base period wages along with the MI in-state covered base period wages to the IB4 response and send it to the WI.
- WI adds the transferred wages (MI & UCX) to the WI wages and sends FCCC a UCX Type 2 with MI in the Transferring State FIPS.
- WI sends MI an <u>IB5</u> to show the UCX wages and MI wages as used. UX is separated from MI and billed separately.

Note: As the Transferring State in a CWC, when the UCX separation date is after the base period end date, the Transferring State must send FCCC a UCX Type 3 to take assignment of the LAG UCX wages that are NOT being used by the Paying State.



# 2<sup>nd</sup> scenario is a recent UCX separation and no other employment



#### 100% UCX SCENARIO

- Claimant recently separated from the military. He has 10 years of active service.
- While physically in RI he filed a new claim in RI.

**Note**: this means the UCX wages are assignable to RI.

- RI sends FCCC a UCX Type 1 request and FCCC responded with a UCX Type 1 with msg P002 (No control record or DD214 on file. Response Pending.)
- Claimant also filed in 9 other States. 5 of the 9 States sent FCCC a UCX Type 1 request and received a UCX Type 1 response with msg P001 (Prior Request. Response Pending.) Only 2 States reviewed the FCCC response, contacted RI and subsequently cancelled their claim. The other 3 never reviewed the FCCC response to their Type 1. The remaining 4 States took an affidavit and established a monetarily eligible UCX benefit year.



#### 100% UCX SCENARIO (cont'd)

- RI took an affidavit and sent a UCX Type 2 to FCCC. RI received a UCX Type 2 response with msg P023 (Control Record Accepted.) Later, upon receipt of the DD214, FCCC sent RI an amended UCX Type 1 with the "official" UCX wage and separation information.
- 3 of the 5 States that received the UCX Type 1 response with msg P001 (Prior Request. Response Pending) ignored the response, proceeded to take an affidavit, established a monetarily eligible UCX benefit year and sent FCCC a UCX Type 2. FCCC rejected the Type 2 and responded by returning a copy of the RI UCX Type 2 with msg P015 (Wages Previously Assigned.) The States ignored the FCCC response.

Note: the Type 2 included the RI claim information so after reviewing the FCCC response each State should have contacted RI to ask the status of the RI claim.

End result is...the claimant was <u>simultaneously</u> receiving UI payments from 8 States, solely because 7 States ignored the FCCC response or worse yet never sent FCCC a request.



The next few slides are several different scenarios involving UCFE and the proper use of the **Federal Claims Control** Center (FCCC)



### UCFE & COVERED EMPLOYMENT IN SAME STATE

- Claimant work history:
  - UCFE 12-10-21 4-3-22
  - AK covered employment 5-1-21 11-1-21
- Claimant resides in AK and on 4-9-22 files a claim in AK
- Last official UCFE duty station was AK
- Claimant has NO subsequent covered employment in AK therefore UCFE is assignable to AK
- AK sends FCCC a UCFE Type 1 and receives a response showing UCFE wages NOT assigned anywhere
- AK sends ETA 931 to Federal agency and receives a response
- AK files an InTRAstate claim which includes UCFE wages
- AK sends FCCC a <u>Type 2</u> taking assignment of the UCFE wages with separation 4-3-22 (both base period and LAG)



### UCFE & COVERED EMPLOYMENT IN SAME STATE UCFE IN LAG PERIOD ONLY

- Claimant work history:
  - UCFE in AK 3-8-22
     4-8-22
  - AK covered employment 5-1-19 3-4-22
- Claimant resides in AK and on 4-9-22 files a claim in AK
- Last official UCFE duty station was AK with no subsequent covered employment in AK therefore UCFE is assignable to AK
- UCFE work history was the past 30 days only (outside of B/P)
- AK sends FCCC a UCFE <u>Type 1</u> and receives a response showing UCFE wages NOT assigned
- AK files an InTRAstate claim
- AK sends an <u>ETA 931</u> to Federal employer and receives wages.
- AK stores the UCFE wages for possible future use and sends FCCC a <u>Type 3</u> to take assignment of the LAG UCFE wages

### COVERED EMPLOYMENT IN FILING STATE, AND UCFE IN ANOTHER STATE. Claimant chooses Intrastate

- Claimant work history:
  - UCFE in WV 12-1-21 4-1-22
  - VA covered employment 5-1-20 11-20-22
- Claimant resides in VA and on 4-6-22 files a claim in VA
- Last official UCFE duty station was WV
- Claimant has NO subsequent covered employment in VA therefore UCFE is assignable to WV
- VA sends FCCC a UCFE Type 1 and receives a response showing UCFE wages NOT assigned
- VA is at maximum benefit rights without UCFE (or possibly the claimant decided he/she did NOT want to file a CWC)
- VA files an InTRAstate claim using VA covered employment
- VA must notify WV (Ulmail or telephone) that they established a claim so that WV may send an ETA 931 to the UCFE employer. Once they receive the wages they will store the wages for possible future use and send FCCC a Type 3 to take assignment of the LAG UCFE wages



## COVERED EMPLOYMENT IN FILING STATE, AND UCFE IN ANOTHER STATE. Claimant chooses CWC

- Claimant work history:
  - UCFE in WV 3-9-21-4-1-22
  - VA covered employment 5-1-19 3-2-22
- Claimant resides in VA and on 4-9-22 files a claim in VA
- Last official UCFE duty station was WV
- Claimant has NO subsequent covered employment in VA therefore UCFE is assignable to WV
- VA sends FCCC a UCFE Type 1 and receives a response showing UCFE wages NOT assigned
- VA files a CWC claim and sends WV an IB4 request to transfer UCFE wages



# COVERED EMPLOYMENT IN FILING STATE, AND UCFE IN ANOTHER STATE Claimant chooses CWC (cont'd)

- WV sends FCCC a UCFE Type 1 and receives a response showing UCFE wages NOT assigned
- WV sends Federal employer an ETA 931 and receives a response
- WV transfers the base period UCFE wages to VA via IB4 response and since the UCFE separation date is after the VA base period end date WV stores the Federal LAG wages for possible future use and sends FCCC a Type 3 to take assignment of LAG period wages.
- VA adds the WV UCFE wages to their claim and sends FCCC a Type 2 showing WV as the Transferring State
- VA sends WV an IB5 showing FE wages as being used in VA

NOTE: If the VA IB5 returned the UCFE wage, then WV would have to send FCCC a Type 5 to cancel the LAG period only wages assignment



REGION 1	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	PENDING	WEB 1-6
CONNECTICUT	37	24	0	7	0	6	11	2
MAINE	4	2	0	0	0	0	2	0
MASSACHUSETTS	50	33	0	3	0	0	17	2
NEW HAMPSHIRE	5	3	0	1	0	3	3	0
NEW JERSEY	65	45	0	0	0	3	20	3
NEW YORK	86	60	0	6	0	14	17	5
PUERTO RICO	71	48	0	0	0	0	2	0
RHODE ISLAND	8	14	0	0	0	0	1	0
VERMONT	8	7	0	2	0	0	0	0
VIRGIN ISLANDS	0	1	0	0	0	0	0	1
TOTAL	334	237	0	19	0	26	73	13



PEOLONIA	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	PENDING	WEB 1-6
REGION 2								
DELAWARE	7	6	0	0	0	0	1	0
DISTRICT OF COLUMBIA	0	0	0	0	0	0	0	0
MARYLAND	78	3	0	4	1	73	9	0
IND ACT EP AIRE	7.0	<u> </u>			<u> </u>	70	3	J
PENNSYLVANIA	84	39	0	0	0	3	32	0
VIRGINIA	112	84	0	1	0	41	31	0
WEST VIRGINIA	7	10	0	0	0	0	3	0
TOTAL	288	142	0	5	1	117	76	0



REGION 3	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	PENDING	WEB 1-6
REGION 3								
ALABAMA	29	17	0	0	0	8	5	1
FLORIDA	115	85	0	7	0	10	26	0
LOTUBA	110		J			10		
GEORGIA	106	45	1	1	0	13	25	0
KENTUCKY	31	26	0	8	0	14	7	0
MICCICCIPPI	40	4.4	0	0	0	0	4	0
MISSISSIPPI	16	11	0	0	0	0	4	0
NORTH CAROLINA	96	112	4	1	0	1	23	0
SOUTH CAROLINA	46	40	1	0	0	0	12	0
OOOTTOAKOLIIVA	+0		·	9	0	J	12	J
TENNESSEE	49	47	2	0	0	0	19	0
TOTAL	488	383	8	17	0	46	121	1



REGION 4	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	PENDING	WEB 1-6
ARKANSAS	17	8	0	0	0	0	5	0
COLORADO	98	75	0	5	0	1	18	0
LOUISIANA	28	7	0	0	0	0	9	0
MONTANA	23	16	0	0	0	0	8	0
NEW MEXICO	31	8	0	1	0	0	6	2
NORTH DAKOTA	5	2	0	0	0	0	1	0
OKLAHOMA	35	34	0	2	0	0	9	0
SOUTH DAKOTA	1	1	0	0	0	0	0	0
TEXAS	495	361	1	13	0	2	119	30
UTAH	17	10	0	0	0	0	5	0
WYOMING	6	1	0	0	0	0	2	0
TOTAL	756	523	1	21	0	3	182	32



REGION 5	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	PENDING	WEB 1-6
ILLINOIS	63	49	0	1	0	0	22	2
INDIANA	40	17	0	2	0	14	13	0
IOWA	7	4	0	0	0	3	0	1
KANSAS	13	10	0	2	0	0	3	0
MICHIGAN	33	28	13	1	2	2	13	0
MINNESOTA	20	14	0	3	0	0	5	3
MISSOURI	36	26	0	0	0	6	11	0
NEBRASKA	3	4	0	0	0	0	0	0
ОНЮ	116	55	0	2	0	16	27	0
WISCONSIN	29	12	0	1	0	4	10	0
TOTAL	360	219	13	12	2	45	104	6



REGION 6	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	PENDING	WEB 1-6
REGION								
ALASKA	17	15	0	3	0	5	3	0
ARIZONA	56	11	0	0	0	1	19	0
CALIFORNIA	366	339	1	14	0	6	123	0
HAWAII	50	22	0	5	0	10	14	0
IDAHO	14	9	0	0	0	0	3	0
NEVADA	39	21	0	0	0	3	13	0
OREGON	25	17	0	0	0	1	10	0
WASHINGTON	160	125	0	16	0	13	34	0
TOTAL	727	559	1	38	0	39	219	0



REGION 1	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	WEB 1-6
CONNECTICUT	36	28	0	1	0	0	0
MAINE	9	4	1	0	0	0	0
MASSACHUSETTS	70	54	0	2	0	0	0
NEW HAMPSHIRE	9	14	0	0	0	0	0
NEW JERSEY	117	110	0	0	0	0	0
NEW YORK	189	112	3	17	1	0	0
PUERTO RICO	113	93	0	0	0	0	0
RHODE ISLAND	19	13	0	1	0	0	0
VERMONT	3	3	0	0	0	0	0
VIRGIN ISLANDS	3	0	0	0	0	0	3
TOTAL	568	431	4	21	1	0	3



REGION 2	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	WEB 1-6
DELAWARE	3	3	0	0	0	0	0
DISTRICT OF COLUMBIA	17	16	0	0	0	0	0
MARYLAND	138	43	33	0	0	0	0
PENNSYLVANIA	174	99	2	2	1	0	0
VIRGINIA	89	71	0	2	0	0	0
WEST VIRGINIA	14	21	0	0	0	0	0
TOTAL	435	253	35	4	1	0	0



REGION 3	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	WEB 1-6
ALABAMA	50	49	1	1	2	0	1
ALADAIVIA	30	49	I	1		0	
FLORIDA	81	37	3	0	0	3	0
GEORGIA	156	88	5	0	0	0	0
KENTUCKY	66	38	1	10	1	2	0
MISSISSIPPI	22	16	1	3	0	0	0
NORTH CAROLINA	66	85	19	2	0	0	0
SOUTH CAROLINA	37	20	1	0	0	0	0
TENNESSEE	85	98	47	14	5	0	0
TOTAL	563	431	78	30	8	5	1



REGION 4	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	WEB 1-6
ARKANSAS	25	14	0	0	0	0	0
COLORADO	109	84	0	3	0	0	0
LOUISIANA	47	22	2	0	0	0	0
MONTANA	39	22	1	3	0	0	0
NEW MEXICO	109	26	1	1	0	0	2
NORTH DAKOTA	5	3	0	0	0	0	0
OKLAHOMA	62	33	0	2	0	0	0
SOUTH DAKOTA	7	3	0	0	0	0	0
TEXAS	439	350	0	14	0	0	0
UTAH	55	29	1	4	0	0	0
WYOMING	8	4	0	0	0	0	0
TOTAL	905	590	5	27	0	0	2



REGION 5	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	WEB 1-6
ILLINOIS	199	158	0	0	0	0	0
INDIANA	38	26	1	0	0	0	0
IOWA	14	11	0	0	0	0	0
KANSAS	19	24	0	3	0	0	0
MICHIGAN	81	54	12	1	6	0	0
MINNESOTA	32	34	0	1	0	0	0
MISSOURI	66	55	9	0	0	0	0
NEBRASKA	15	7	1	0	0	0	0
ОНЮ	210	49	0	3	0	0	0
WISCONSIN	51	44	3	6	0	0	0
TOTAL	725	462	26	14	6	0	0



REGION 6	TYPE 1	TYPE 2	TYPE 3	TYPE 4	TYPE 5	TYPE 6	WEB 1-6
ALASKA	31	37	0	13	0	0	0
ARIZONA	45	33	1	0	0	0	0
CALIFORNIA	360	377	13	33	0	0	0
HAWAII	42	40	0	4	1	0	0
IDAHO	9	15	0	1	0	0	0
NEVADA	31	30	0	3	0	0	0
OREGON	76	49	4	3	0	0	0
WASHINGTON	131	81	1	4	0	0	0
TOTAL	725	662	19	61	1	0	0



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